

p -adic Galois representations

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Need analytic continuation and functional equation!

Elliptic curves

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- Conjecturally: number of rational points:

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Analytic continuation in this case: Taniyama–Shimura–Weil conjecture (proven by Wiles and Taylor (1993)).

Varieties \rightsquigarrow Galois representations

Let X be a smooth projective variety defined over \mathbb{Q} and put $G_{\mathbb{Q}} := \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$. For any prime ℓ and integer $i \geq 0$ we have an action of $G_{\mathbb{Q}}$ on the ℓ -adic cohomology group

$$H_{\text{et}}^i(X_{\overline{\mathbb{Q}}}, \mathbb{Q}_{\ell}) := \left(\varprojlim_r H_{\text{et}}^i(X_{\overline{\mathbb{Q}}}, \mathbb{Z}/\ell^r\mathbb{Z}) \right) [\ell^{-1}].$$

Reason for finite coefficients:

$H_{\text{et}}^i(X_{\overline{\mathbb{Q}}}, \mathbb{Z}/\ell^r\mathbb{Z}) \cong H_{\text{sing}}^i(X(\mathbb{C}), \mathbb{Z}/\ell^r\mathbb{Z})$. Need to pass to characteristic 0 in order to define L -functions \rightsquigarrow ℓ -adic representations!

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- $X = \{*\}, i = 0 \rightsquigarrow$ trivial Galois representation.
- $X = E, i = 1 \rightsquigarrow H_{\text{et}}^1(E_{\overline{\mathbb{Q}}}, \mathbb{Z}/\ell^r \mathbb{Z}) \cong E[\ell^r](1)$.

Galois representations \rightsquigarrow L -functions

Fix an embedding $\overline{\mathbb{Q}} \hookrightarrow \overline{\mathbb{Q}_p}$ for any prime p (and also $\overline{\mathbb{Q}} \hookrightarrow \mathbb{C}$).
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$$1 \rightarrow I_p \rightarrow G_{\mathbb{Q}_p} \rightarrow G_{\mathbb{F}_p} \rightarrow 1$$

where $G_{\mathbb{F}_p} \cong \widehat{\mathbb{Z}}$ is topologically generated by the (arithmetic) Frobenius automorphism $\text{Frob}_p: x \mapsto x^p$.

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where $G_{\mathbb{F}_p} \cong \widehat{\mathbb{Z}}$ is topologically generated by the (arithmetic) Frobenius automorphism $\text{Frob}_p: x \mapsto x^p$. Now if

$$\rho: G_{\mathbb{Q}} \rightarrow \text{GL}(V)$$

is a global Galois-representation on a finite dimensional vectorspace V over a field K of characteristic 0 (embedded into \mathbb{C}) then we defined the local polynomial at p as the characteristic polynomial

$$P_{\rho,p}(T) := \det(\text{id} - T \text{Frob}_p \mid V^{I_p}) \in K[T].$$

Galois representations \rightsquigarrow L -functions

The L -function attached to the Galois representation ρ is defined as

$$L(\rho, s) := \prod_{\rho \text{ prime}} \frac{1}{P_{\rho, \rho}(p^{-s})} \quad (\operatorname{Re}(s) \gg 0).$$

In case of $X = \{*\}$, $i = 0$ this specializes to Riemann ζ and in case $X = E$, $i = 1$ to the L -function of the elliptic curve as above.

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Fundamental open questions in the theory:

- Analytic continuation and functional equation \rightsquigarrow modularity

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- Analytic continuation and functional equation \rightsquigarrow modularity
- Which Galois representations arise from geometry, ie. as a subquotient of the étale cohomology of a smooth projective variety?

The above 2 questions are closely related.

Geometric Galois representations

Fontaine–Mazur conjecture (1995)

An irred. ℓ -adic Galois representation $\rho: G_{\mathbb{Q}} \rightarrow GL_n(\mathbb{Q}_{\ell})$ comes from geometry if and only if the following two conditions hold:

- (i) ρ is unramified (ie. $\rho(I_p) = \{1\}$) at all but finitely many primes p .
- (ii) ρ is de Rahm at the prime $p = \ell$.

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- (ii) ρ is de Rham at the prime $p = l$.

The “only if” part of the above conjecture is known: (i) by Grothendieck (note that in the case of elliptic curves those primes ramify at which the curve has bad reduction: criterion of Néron–Ogg–Shafarevich—in particular, there are finitely many). Assertion (ii) (“ p -adic de Rham comparison isomorphism”) was first proven by Faltings and by Tsuji and reproven recently by Beilinson (survey: Szamuely–Z) and by Scholze.

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Classical comparison isomorphism

Let X be a smooth projective variety over \mathbb{C} . Classical Poincaré lemma \rightsquigarrow

$$H_{\text{sing}}^n(X(\mathbb{C}), \mathbb{C}) = H_{dR}^n(X^{\text{an}}, \mathbb{C})$$

where the right hand side is computed by the Hodge-to-de Rham spectral sequence

$$E_1^{p,q} := H^q(X^{\text{an}}, \Omega_{X^{\text{an}}}^p) \Rightarrow H_{dR}^{p+q}(X^{\text{an}}, \mathbb{C})$$

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- In case of algebraic de Rham cohomology coefficients lie in K !

p-adic comparison isomorphism

So we take $K = \mathbb{Q}_p$. Associated to the algebraic de Rham complex

$$\Omega_X^\bullet: \mathcal{O}_X \xrightarrow{d} \Omega_X^1 \xrightarrow{d} \Omega_X^2 \rightarrow \dots$$

of sheaves (in the Zariski topology) of Kähler-differentials there is a Hodge-to-de Rham spectral sequence

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For a p-adic Poincaré lemma to hold, one has to pass to a big field \mathbf{B}_{dR} (which is a discretely valued field with residue field $\mathbb{C}_p = \widehat{\mathbb{Q}_p}$ admitting an action of $G_{\mathbb{Q}_p}$) so one has an isomorphism (Faltings)

$$H_{dR}^i(X/\mathbb{Q}_p) \otimes_{\mathbb{Q}_p} \mathbf{B}_{dR} \xrightarrow{\sim} H_{et}^i(X_{\overline{\mathbb{Q}_p}}, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} \mathbf{B}_{dR}$$

compatible with the filtration and the Galois action on both sides.

de Rham representations

Taking $G_{\mathbb{Q}_p}$ -invariants of the isomorphism above one obtains

$$H_{dR}^i(X/\mathbb{Q}_p) \cong \left(H_{\text{et}}^i(X_{\overline{\mathbb{Q}_p}}, \mathbb{Q}_p) \otimes_{\mathbb{Q}_p} \mathbf{B}_{dR} \right)^{G_{\mathbb{Q}_p}}$$

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using the fact $\mathbf{B}_{dR}^{G_{\mathbb{Q}_p}} = \mathbb{Q}_p$. By GAGA the two sides have the same dimension therefore we define a local p -adic Galois-representation V to be de Rham if we have $\dim_{\mathbb{Q}_p} D_{dR}(V) = \dim_{\mathbb{Q}_p} V$ where

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Problem: We cannot recover V from $D_{dR}(V)$!
(even if V is de Rham)

Galois representation in characteristic p

Let E be a perfect field of characteristic p and V be a finite dimensional representation of $G_E := \text{Gal}(\overline{E}/E)$ over \mathbb{F}_p . By Hilbert's Theorem 90 we can trivialize V over \overline{E} , ie.

$$\overline{E} \otimes_{\mathbb{F}_p} V \cong \overline{E}^{\dim_{\mathbb{F}_p} V} \cong \overline{E} \otimes_E (\overline{E} \otimes_{\mathbb{F}_p} V)^{G_E}$$

as G_E -modules. In particular, $D(V) := (\overline{E} \otimes_{\mathbb{F}_p} V)^{G_E}$ has dimension $\dim_{\mathbb{F}_p} V$ over E .

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Key extra structure: in characteristic p the Frobenius $\text{Frob}_p: \overline{E} \rightarrow \overline{E}$ has fixed field \mathbb{F}_p .

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Put $\varphi := \text{Frob}_p \otimes \text{id}_V: \overline{E} \otimes_{\mathbb{F}_p} V \rightarrow \overline{E} \otimes_{\mathbb{F}_p} V$ so we have $V = (\overline{E} \otimes_E D(V))^{\varphi=\text{id}}$.

How to pass from char 0 to char p ?

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Definition

Let K be a field that is complete with respect to a nonarchimedean *nondiscrete* valuation $|\cdot|: K \rightarrow \mathbb{R}^{\geq 0}$. We say that K is *perfectoid* if the p -Frobenius map $\text{Frob}_p: \mathcal{O}_K/(p) \rightarrow \mathcal{O}_K/(p)$ is surjective.

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Examples: \mathbb{C}_p , $\widehat{\mathbb{Q}_p(\mu_{p^\infty})}$, $\widehat{\mathbb{Q}_p(p^{1/p^\infty})}$, $\mathbb{F}_p(\widehat{(T^{1/p^\infty})})$ but not \mathbb{Q}_p (valuation is discrete!).

Tilting equivalence

Let K be a perfectoid field. The perfectoid field $K^b := \text{Frac}(\mathcal{O}_{K^b})$ of characteristic p is called the *tilt* of K where

$$\mathcal{O}_{K^b} := \varprojlim_{\text{Frob}_p: \mathcal{O}_K/(p) \rightarrow \mathcal{O}_K/(p)} \mathcal{O}_K/(p).$$

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Theorem (Tilting equivalence of Scholze)

Let K be a perfectoid field. Then the functor $b: L \mapsto L^b$ gives an equivalence of categories between perfectoid extensions of K and perfectoid extensions of K^b . Moreover, if L/K is finite separable then L is perfectoid (baby case of almost purity).

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Corollary

We have $G_K \cong G_{K^b}$ and if K is the completion of a Galois extension of \mathbb{Q}_p then we have $\text{Gal}(K/\mathbb{Q}_p) \hookrightarrow \text{Aut}(K^b)$.

p -adic local Galois reps and perfect (φ, Γ) -modules

Let K be a perfectoid field (of char 0)

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New feature (Scholze): There is a geometric object $\text{Spd}(\mathbb{Q}_p)$ in characteristic p with étale fundamental group $G_{\mathbb{Q}_p}$: formal orbit space of Γ -action on $\text{Spa}(\widehat{\mathbb{Q}_p(\mu_{p^\infty})}^b)$ in the category of *diamonds*.

Imperfect (φ, Γ) -modules

We have $\widehat{\mathbb{Q}_p(\mu_{p^\infty})}^b = \mathbb{F}_p(\widehat{T^{1/p^\infty}})$ —one could, for most purposes, work with (φ, Γ) -modules over these. But e.g. for the p -adic Langlands programme one needs *imperfect* ground fields.

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Observation: we have $G_{\mathbb{F}_p((T))} \cong G_{\mathbb{F}_p(\widehat{T^{1/p^\infty}})} \rightsquigarrow$

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and

$$\{p\text{-adic reps of } G_{\mathbb{Q}_p}\} \leftrightarrow \{\text{étale } (\varphi, \Gamma)\text{-modules} / \mathcal{E}\}$$

where we put $\mathcal{E} := \mathcal{O}_{\mathcal{E}}[p^{-1}]$ and $\mathcal{O}_{\mathcal{E}} := \varprojlim_n \mathbb{Z}/(p^n)((T))$. Étale means: $id \otimes \varphi: \mathcal{E} \otimes_{\mathcal{E}, \varphi} D \rightarrow D$ is bijective (note: $\text{Frob}_p: \mathbb{F}_p((T)) \rightarrow \mathbb{F}_p((T))$ is no longer bijective!) This is Fontaine's equivalence of categories (1990).

p -adic Hodge theory via (φ, Γ) -modules

Let V be a p -adic representation of $G_{\mathbb{Q}_p}$ and put $D(V)$ for the corresponding (φ, Γ) -module over \mathcal{E} . In order to recover $D_{dR}(V) = (\mathbf{B}_{dR} \otimes_{\mathbb{Q}_p} V)^{G_{\mathbb{Q}_p}}$ from $D(V)$ one first has to pass to coefficient rings converging p -adically at least somewhere.

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$$\mathcal{R}^{(r,1)} := \left\{ f(T) = \sum_{i=-\infty}^{\infty} a_i T^i \mid a_i \in \mathbb{Q}_p, f \text{ converges if } r < |T|_p < 1 \right\}$$

$$\mathcal{R} := \bigcup_{0 < r < 1} \mathcal{R}^{(r,1)} \quad \mathcal{E}^\dagger := \left\{ f \in \mathcal{R} \mid \limsup_{|T|_p \rightarrow 1} |f(T)|_p < \infty \right\}$$

Note: \mathcal{E}^\dagger embeds into \mathcal{E} (but \mathcal{R} does not).

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Theorem (Cherbonnier–Colmez: overconvergence)

$D(V)$ descends to an étale (φ, Γ) -module $D^\dagger(V)$ over \mathcal{E}^\dagger .

p -adic Hodge theory via (φ, Γ) -modules

Theorem (Berger)

Put $D^{rig}(V) := \mathcal{R} \otimes_{\mathcal{E}^\dagger} D^\dagger(V)$ and
 $t := \log(1 + T) = \sum_{k=1}^{\infty} (-1)^{k+1} \frac{T^k}{k} \in \mathcal{R}$. Then there exists a
 p -adic differential equation $(\mathbb{Q}_p(\mu_{p^\infty})[[t]]$ -module with Γ -action)
 $D^{dif}(V)$ associated to $D^{rig}(V)$ such that we have

$$D_{dR}(V) = D^{dif}(V)^\Gamma .$$

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Most applications use: for $? = rig, \dagger$, or empty Herr's complex
 below computes Galois cohomology:

$$0 \rightarrow D^?(V) \xrightarrow{(\varphi-id, \gamma-id)} D^?(V) \oplus D^?(V) \xrightarrow{(id-\gamma, \varphi-id)} D^?(V) \rightarrow 0 .$$

Motivation: generalize Colmez' functors

Main observation of Colmez when constructing a p -adic Langlands correspondence for $GL_2(\mathbb{Q}_p)$:

$$1 + T \leftrightarrow \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \quad \varphi \leftrightarrow \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \quad \Gamma \leftrightarrow \begin{pmatrix} \mathbb{Z}_p^\times & 0 \\ 0 & 1 \end{pmatrix}$$

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If there is a generalization to groups of higher rank (e.g. $GL_n(\mathbb{Q}_p)$ with $n > 2$) it is natural to expect that “multivariable” objects come into picture. Hint (Breuil–Herzig–Schraen): A generalized Colmez functor applied to the automorphic $GL_n(\mathbb{Q}_p)$ -representation attached to a mod p (global) Galois representation ρ (the corresponding Hecke-isotypical component in the cohomology of a Shimura-variety) should *not* give ρ back but $\bigotimes_{i=1}^n \wedge^i \rho$.

Theorem (Z, Carter–Kedlaya–Z)

Let Δ be a finite set and put $G_{\mathbb{Q}_p, \Delta} := \prod_{\alpha \in \Delta} G_{\mathbb{Q}_p}$. There is an equivalence of categories

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where $\varphi_{\Delta} = (\varphi_{\alpha} \mid \alpha \in \Delta)$ (one Frobenius lift for each variable),

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Theorem (Z)

There is a right exact functor compatible with parabolic induction and tensor products from the category of smooth mod p^n representations of $GL_n(\mathbb{Q}_p)$ to the category of mod p^n representations of $G_{\mathbb{Q}_p}^{n-1} \times \mathbb{Q}_p^{\times}$. In case of $n = 2$ this agrees with Colmez' functor realizing p -adic Langlands for $GL_2(\mathbb{Q}_p)$.

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Let X_1, \dots, X_n be connected schemes of finite type $/\mathbb{F}_p$ and put $X := X_1 \times \dots \times X_n$. Let $\varphi_i = 1 \times \dots \times \varphi_{X_i} \times \dots \times 1: X \rightarrow X$ be the i th partial Frobenius and denote by $\mathbf{FEt}(X/\Phi)$ the category of finite étale maps $Y \rightarrow X$ equipped with commuting isomorphisms $\beta_i: Y \rightarrow \varphi_i^* Y$ such that the “composite” $\beta_n \circ \dots \circ \beta_1$ is the relative Frobenius $\varphi_{Y/X}$.

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Drinfeld’s lemma for schemes

$$\pi_1(X/\Phi) \cong \pi_1(X_1) \times \dots \times \pi_1(X_n).$$

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but the rational subspace defined by

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Holds also for possibly distinct finite extensions K_1, \dots, K_n of \mathbb{Q}_p .

Further results and possible future directions

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Future directions:

- Pass to the Robba ring and construct Bloch–Kato exponential maps and Perrin-Riou’s big exponential maps in this product situation \rightsquigarrow prove classical ε -isomorphisms (etc.?) for p -adic representations of the form $V_1 \otimes_{\mathbb{Q}_p} V_2$ if it is known for both V_1 and V_2 .

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- Relate these notions to Berger’s Lubin–Tate multivariable (φ, Γ) -modules $\rightsquigarrow^?$ better structural properties of the latter

Thanks for your attention!